FUNCTIONAL SAFETY COURSE #1

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General Agenda

• Course #1:

Functional Safety awareness

• Course #2 :

Brainstorming on power inverter architecture, potential failures and safety mechanisms (ie. safety concept)

• Course #3:

Continue on Safety Concept

Course #4:

How to prove our concept and assess it

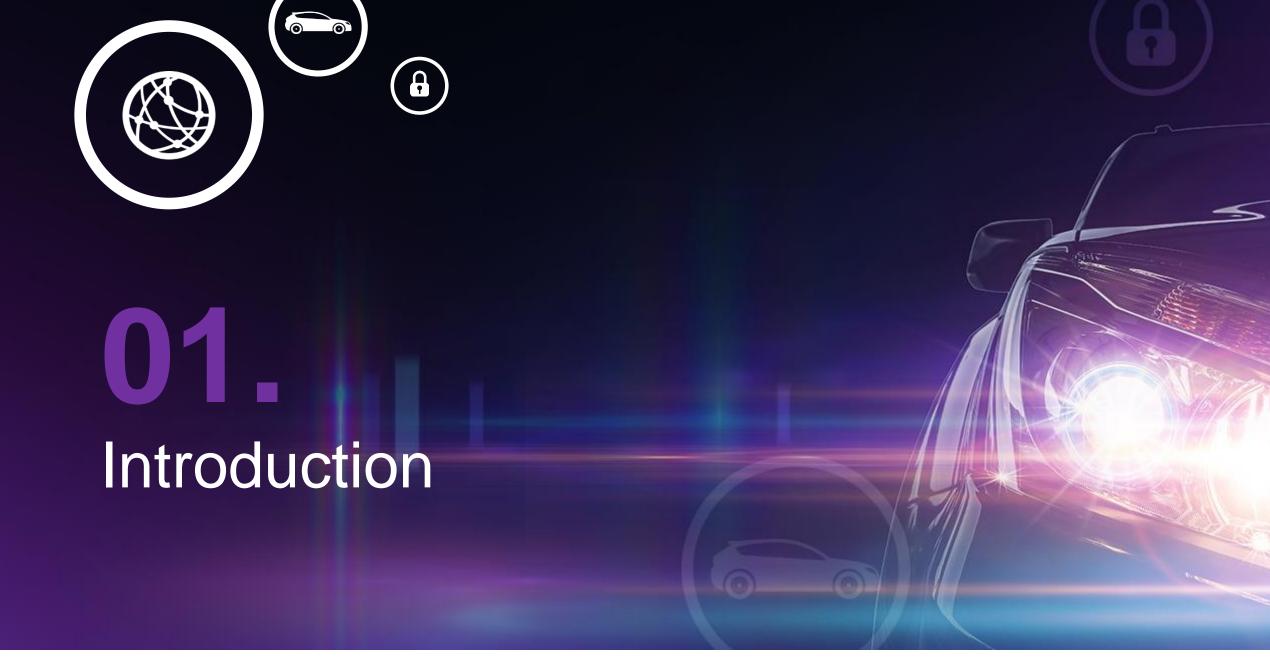




Course #1 agenda

- Introduction
- What is functional safety?
- How to manage functional safety
- Functional safety & Autonomous driving
- Conclusion



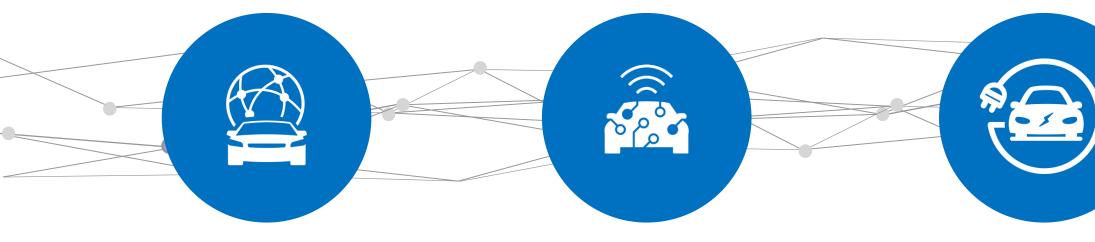








From Automotive to Safe and Secure Mobility



Connectivity

Enjoying Life. One hour per day in the car

Autonomy

Saving Lives. 1.3 M road fatalities every year

Electrification

Reducing CO². EU mandates 20% reduction by 2020



SAFE AND SECURE MOBILITY

Semi Value / Car more than doubles through next 10 Years



Road Traffic Accidents: The Causes

Critical Reasons	Number	%
Driver	2,046,000	94%
Vehicles	44,000	2%
Environment	52,000	2%
Unknown	47,000	2%
Total	2,189,000	100%

Data	source:	NMVCCS

Driver-Related Critical Reasons	Number	%
Recognition Error	845,000	41%
Decision Error	684,000	33%
Performance Error	210,000	11%
Non-performance Error (e.g. Sleep)	145,000	7%
Other	162,000	8%
Total	2,046,000	100%

Every year!

- ~1.3 M fatalities
- >50 M people seriously injured
- >\$3 trillion cost of road accidents
- >90% caused by human mistakes

We need to get the *Human Factor* out of the equation!



Safety Incidents



The Ford Pinto Case



JAL B - 787

Toyota Unintended Acceleration



(Source: Tesla Motors Club)

Tesla Crash



Tesla's Fatal Crash



Elements Of A Safe System

Zero accidents by system failures (ISO 26262)

FUNCTIONAL SAFETY VEHICLE SAFETY **DEVICE RELIABILITY**

Zero components failures (robust product)

Zero accidents by system hacks

SECURITY

Zero accidents by human error (ADAS & SOTIF)





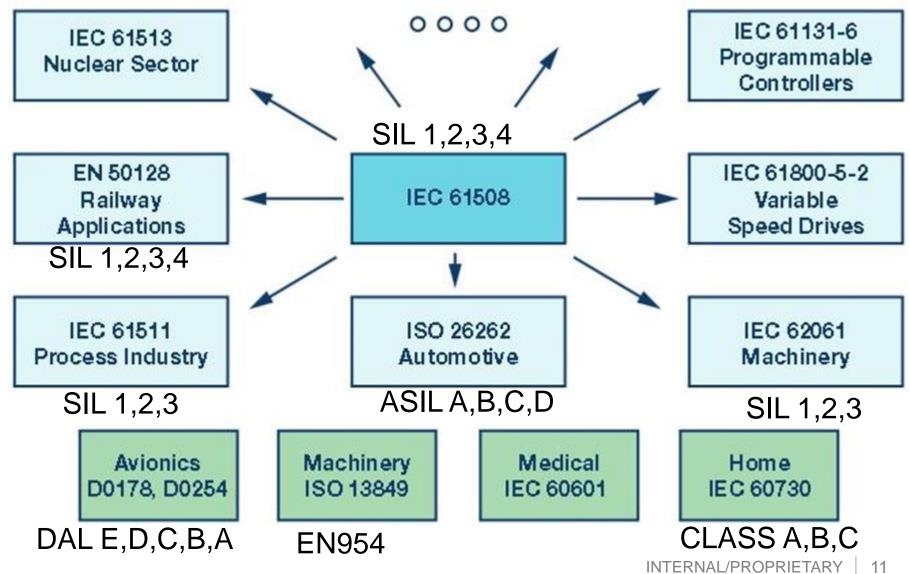


What is Functional Safety?

- Functional safety is the absence of unreasonable risk to do hazards caused by malfunctioning behavior
- Mitigation or control of risk



Functional Safety Standard Landscape





INTERNATIONAL **STANDARD**

ISO 26262-1

> Second edition 2018-12

2-5 Overall safety management

3. Concept phase

9-5 Requirements decomposition with respect to ASIL tailoring

9-6 Criteria for coexistence of elements

Road vehicles — Functional safety — Part 1: Vocabulary

Véhicules routiers — Sécurité fonctionnelle — Partie 1: Vocabulaire

4-7 System and item integration 4-5 General topics for the product 3-5 Item definition development at the system level and testing 3-6 Hazard analysis and risk 4-6 Technical safety concept 4-8 Safety validation assessment 3-7 Functional safety concept 12. Adaptation of ISO 26262 5. Product development at the 6. Product development at the for motorcycles hardware level software level 12-5 General topics for adaptation 5-5 General topics for the product 6-5 General topics for the product development at the hardware level development at the software level for motorcycles 12-6 Safety culture 5-6 Specification of hardware 6-6 Specification of software safety requirements safety requirements 5-7 Hardware design 6-7 Software archtectural design 12-7 Confirmation measures 6-8 Software unit design and 5-8 Evaluation of the hardware implementation architectural metrics 12-8 Hazard analysis and risk 6-9 Software unit verification 5-9 Evaluation of safety goal assessment 12-9 Vehicle integration and violations due to random 6-10 Software Integration and verification hardware failures testing 12-10 Safety validation 6-11 Testing of the embedded 5-10 Hardware integration and software verification 8. Supporting processes 8-5 Interfaces within distributed developments 8-9 Verification 8-14 Proven in use argument 8-6 Specification and management of safety 8-10 Documentation management 8-15 Interfacing an application that is out of scope requirements of ISO 26262 8-11 Confidence in the use of software tools 8-7 Configuration management 8-12 Qualification of software components 8-16 Integration of safety-related systems not developed according to ISO 26262 8-8 Change management 8-13 Evaluation of hardware elements 9. Automotive safety integrity level (ASIL)-oriented and safety-oriented analyses

1. Vocabulary

2. Management of functional safety

4. Product development at the system level

2-6 Project dependent safety management

2-7 Safety management regarding production,

7. Production, operation,

service and

decommissioning

7-5 Planning for production,

7-7 Operation, service and

operation, service and

decommissioning

7-6 Production

decommissioning

operation, service and decommissioning

Reference number ISO 26262-1:2018(E)



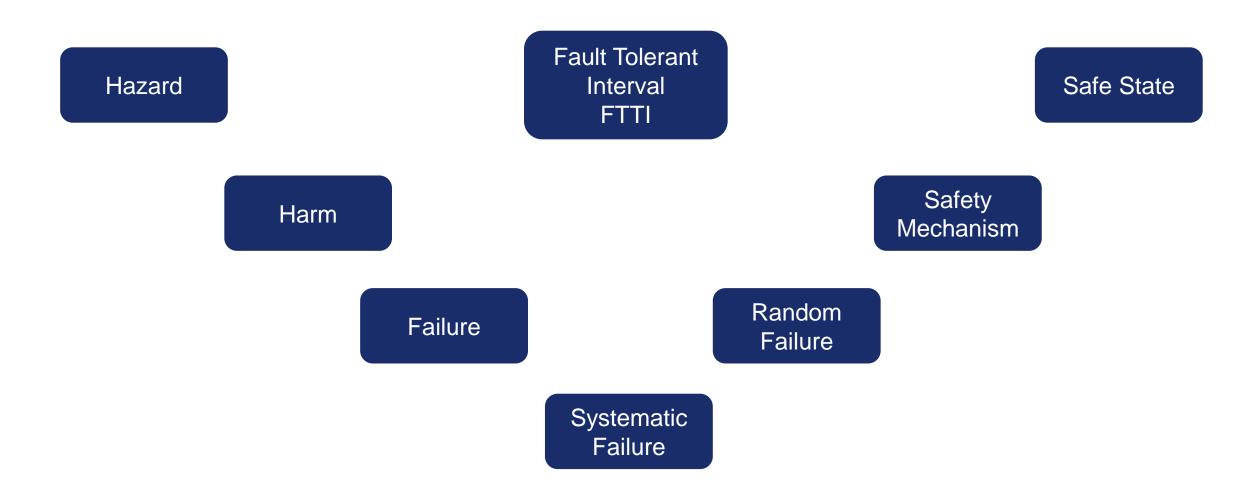
9-7 Analysis of dependent failures

9-8 Safety analyses

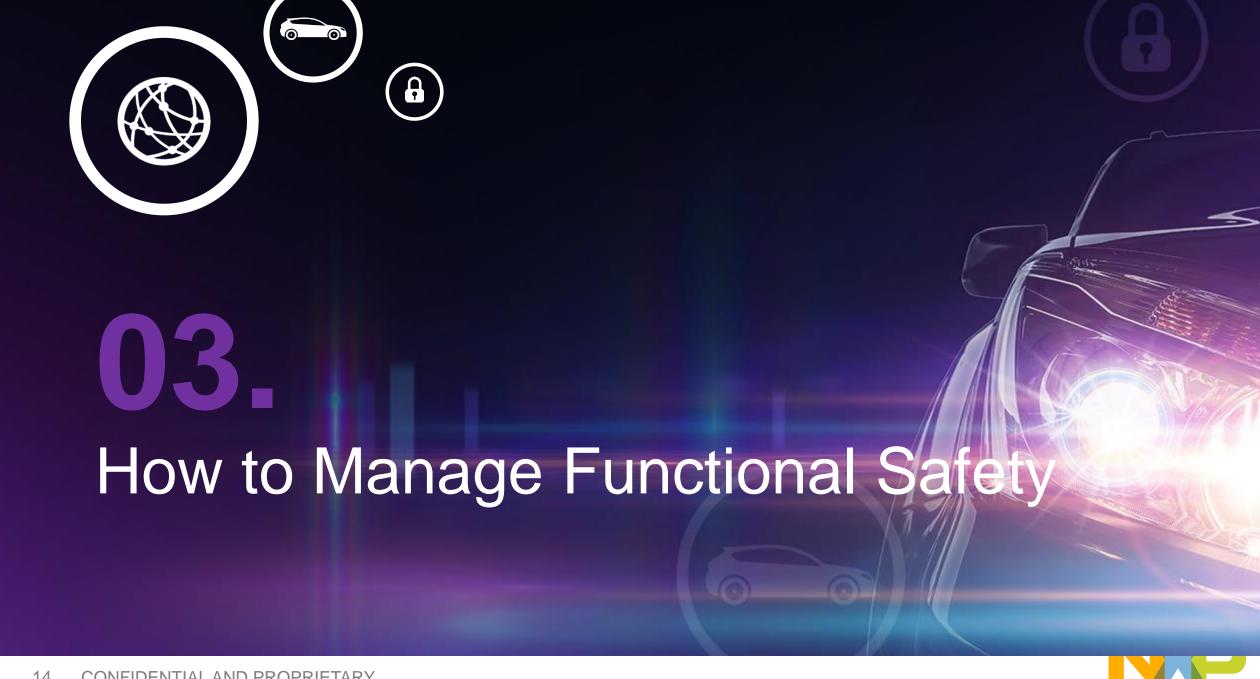
10. Guidelines on ISO 26262

11. Guidelines on application of ISO 26262 to semiconductors

Functional Safety: A Bit Of Wording







Functional Safety - General Approach



Hazard and Risk Analysis



Safety Goals



Mitigation



Safety Measures Safety Mechanims



SAFE System





An ASIL is defined for each Safety Goal



Functional Safety - Integrity Level Evaluation

E = Exposure

Class	Description	
E0	Incredible	
E1	Very low probability	
E2	Low probability	
E3	Medium probability	
E4	High probability	

C = Controllability

Class	Description	
C0	Controllable in general	
C1	Simply controllable	
C2	Normally controllable	
C3	Difficult to control or uncontrollable	

S = Severity

Class	Description	
S0	No injuries	
S1	Light and moderate injuries	
S2	Severe and life-threatening injuries (survival probable)	
S3	Life-threatening injuries (survival uncertain), fatal injuries	

			C1 - SIMPLE	C2 – NORMAL	C3 – DIFFICULT
		E1 (very low)	QM	QM	QM
S1	LIGHT	E2 (low)	QM	QM	QM
31	LIGHT	E3 (medium)	QM	QM	А
		E4 (high)	QM	A	В
		E1 (very low)	QM	QM	QM
S2	SEVERE	E2 (low)	QM	QM	А
32 SE	SEVERE	E3 (medium)	QM	A	В
		E4 (high)	A	В	С
S3 FATA		E1 (very low)	QM	QM	А
	FATAL	E2 (low)	QM	A	В
		E3 (medium)	A	В	С
		E4 (high)	В	С	D

(QM: "quality managed" → no requirements from standard applied explicitly)

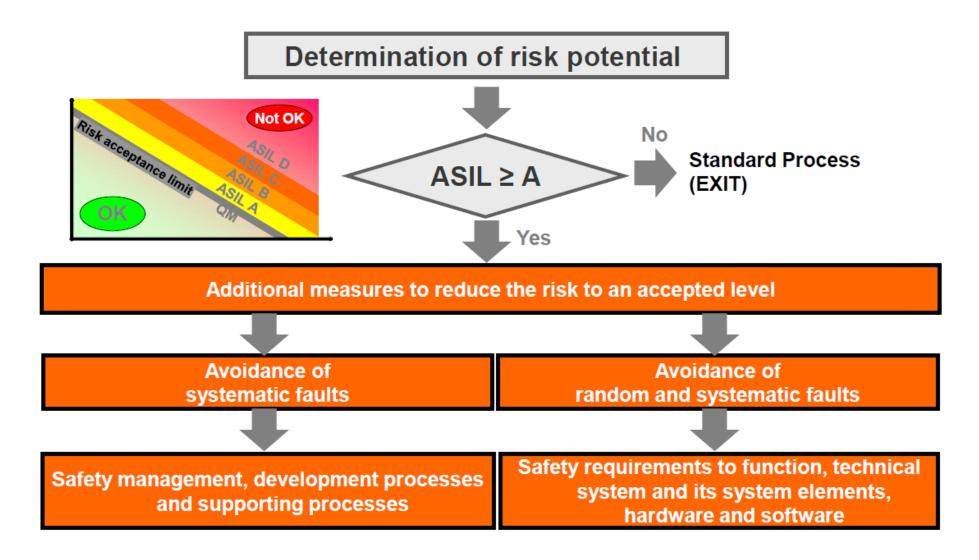


Example of System and Corresponding Safety integrity Level

Application / System	ASIL
Wiper	Α
Computer Vision – mono / stereo camera	В
Radar	В
Lighting – low beam	В
Battery Management system	D
Chassis dynamic – suspension / damping	С
Gateway – ADAS controller - Fusion	D
Transmission – Dual Clutch Automatic Gearbox	D
Braking – Electro-mechanic	D
Airbag – (unwanted deployment)	D
Electric Power steering	D



Functional Safety - Risk Management





Functional Safety – Risk Management

<u>ANALYZE HAZARD & RISK (HARA)</u>
DEFINE <u>SAFETY GOALS (SG)</u>
EVALUATE <u>AUTOMOTIVE SAFETY INTEGRITY LEVEL (ASIL A, B, C or D)</u>



INDENTIFY FAULTS
IMPLEMENT MEASURES TO MITIGATE THE RISK





(in development)

- Process
- Traceability
- Best practices
- Lessons learned
- Verification & validation



DETECT HW RANDOM FAULTS

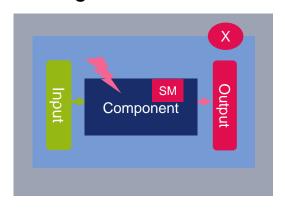
(in operation)

- Safety concept / architecture
- Embedded Safety mechanisms
- Quantitative & qualitative analysis
- Fault injection
- Documentation

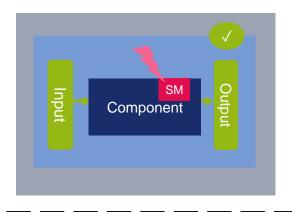


Functional Safety - Types of Faults

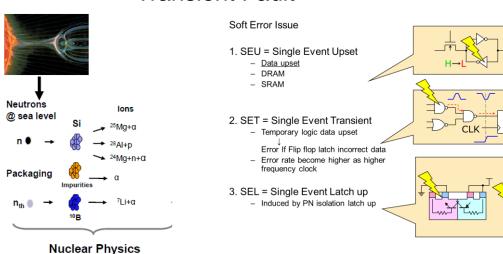
Single Point Fault



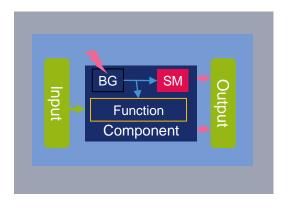
Latent Fault



Transient Fault

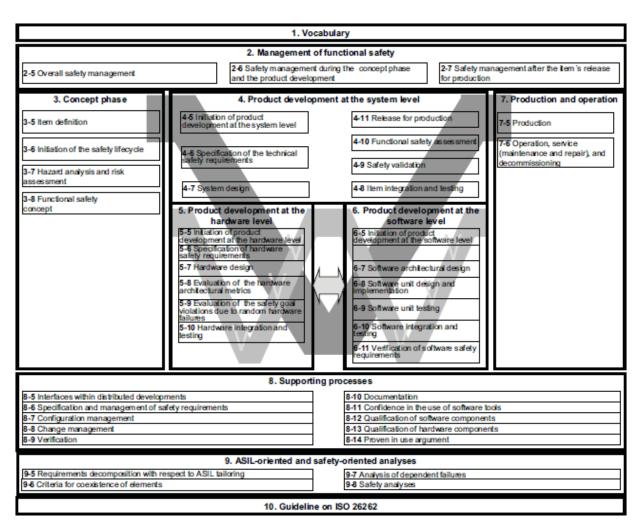


Common Cause Fault





Functional Safety - Vmodel & Responsibility



Reference ISO 26262-10:2012

OEM

- Item definition
- Hazard analysis and risk assessment
- Safety Goals
- Functional Safety Concept

Safety Manual Safety Analysis

S



Safety Requirements
DIA

Tier 1

- Technical Safety Concept
- Technical Safety Architecture
- ASIL Classification of Functions
- HW / SW design

Safety Manual Safety Analysis



Safety Requirements DIA

Tier 2 Supplier - NXP

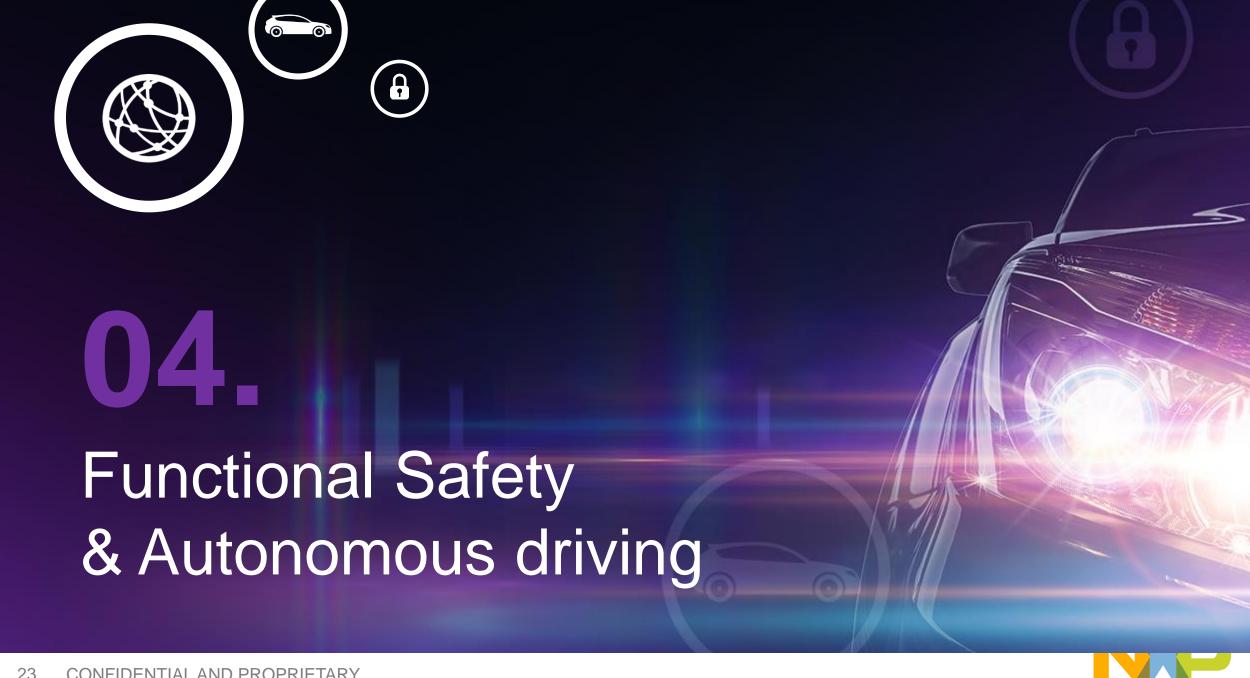
HW / SW "products"

Product Safety Mechanisms

(implemented in offering, described in Safety Manual, quantified/qualified by Safety Analysis)

Development Process & Methods









Autonomous Driving Levels





Revolutional Safe, Secure



Mobility



Functional Safety: Autonomous Driving – SAE Levels

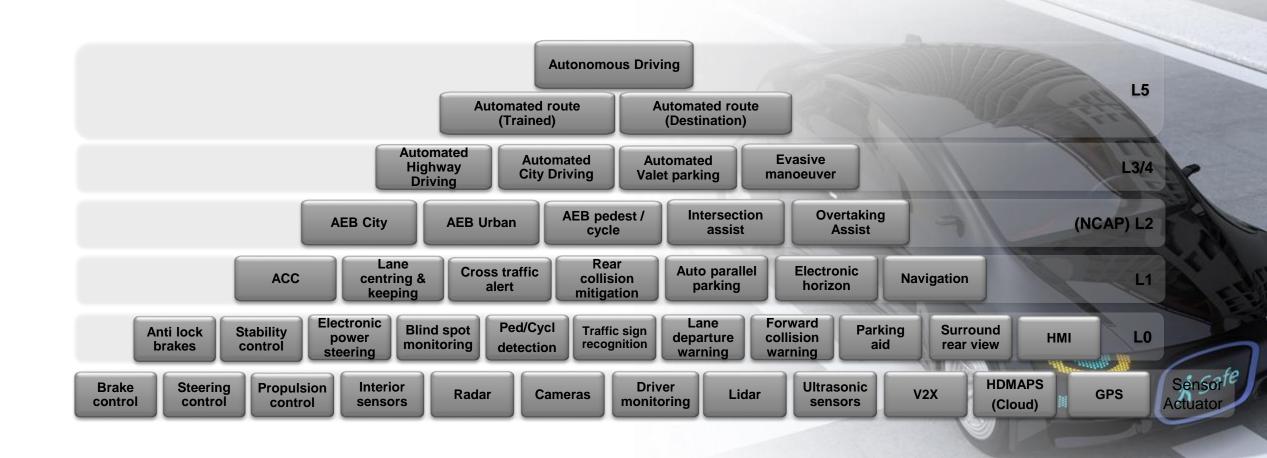
CONTROL OF THE SYSTEM HUMAN MACHINE LEVEL O LEVEL 3 LEVEL 1 LEVEL 5 LEVEL 2 LEVEL 4 No Driver Awareness Intervention for Take Over Traffic Longitudinal or Control **Transverse** No Active Guide Assistance No Driver No Take Over System Take Over Longitudinal Request Request Longitudinal or and Transverse Transverse Guide Guide Hands On Hands Temp Off Eyes Temp Off Hands Off Hands Off Hands Off Hands On Eyes On Eyes Off Mind Off Eyes On **Driver Off**

AVAILABILITY OF THE SYSTEM

FAIL-SAFE DEGRADED FAIL-OPERATIONAL



From ADAS to Highly Automated driving to Autonomous Driving



A couple of Case Studies

Uber Accident- March 18, 2018



Tesla Fatal Crash- May 7, 2018





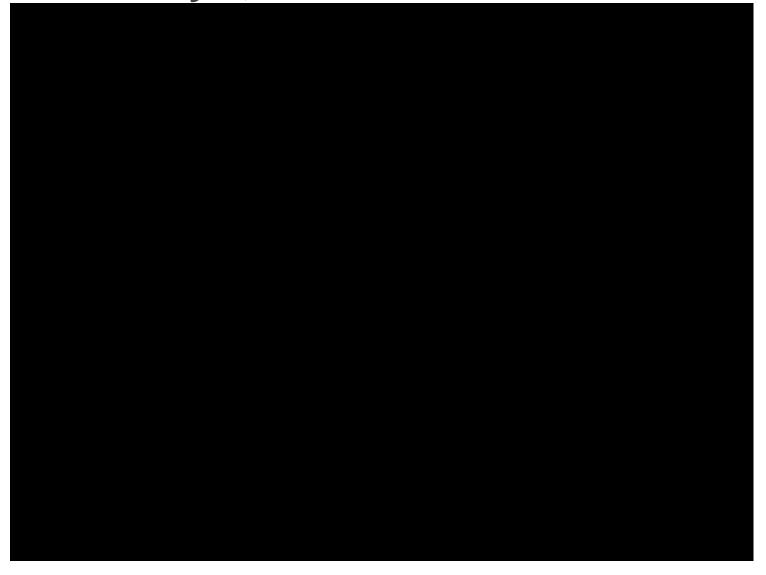
Uber Accident- March 18, 2018



https://www.youtube.com/watch?v=ywydalBYhic



Tesla Fatal Crash- May 7, 2016





Tesla Fatal Crash- May 7, 2016

Road:

Divided highway

Trailer:

- White side of tractor trailer
- High ride height of the trailer

Sky:

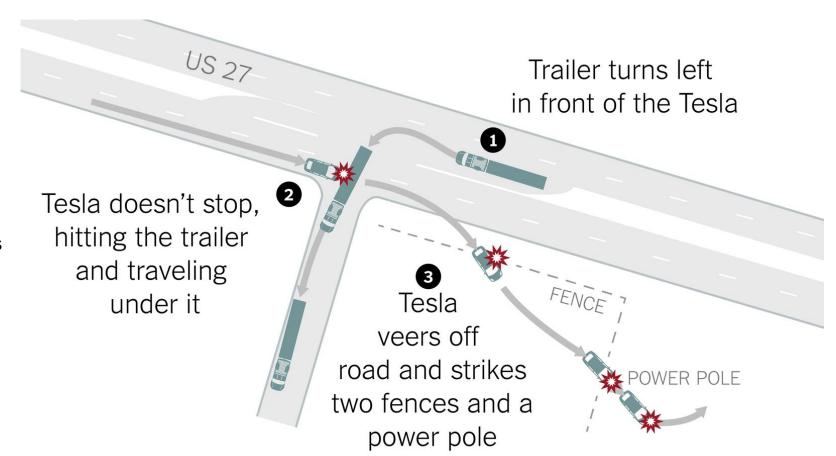
Brightly lit sky

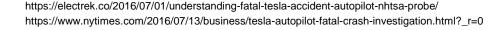
Vehicle:

- Autopilot Engaged
- Automatic Emergency Braking (AEB) was not activated
- Obstacle was not detected ~ Sensor Limitations

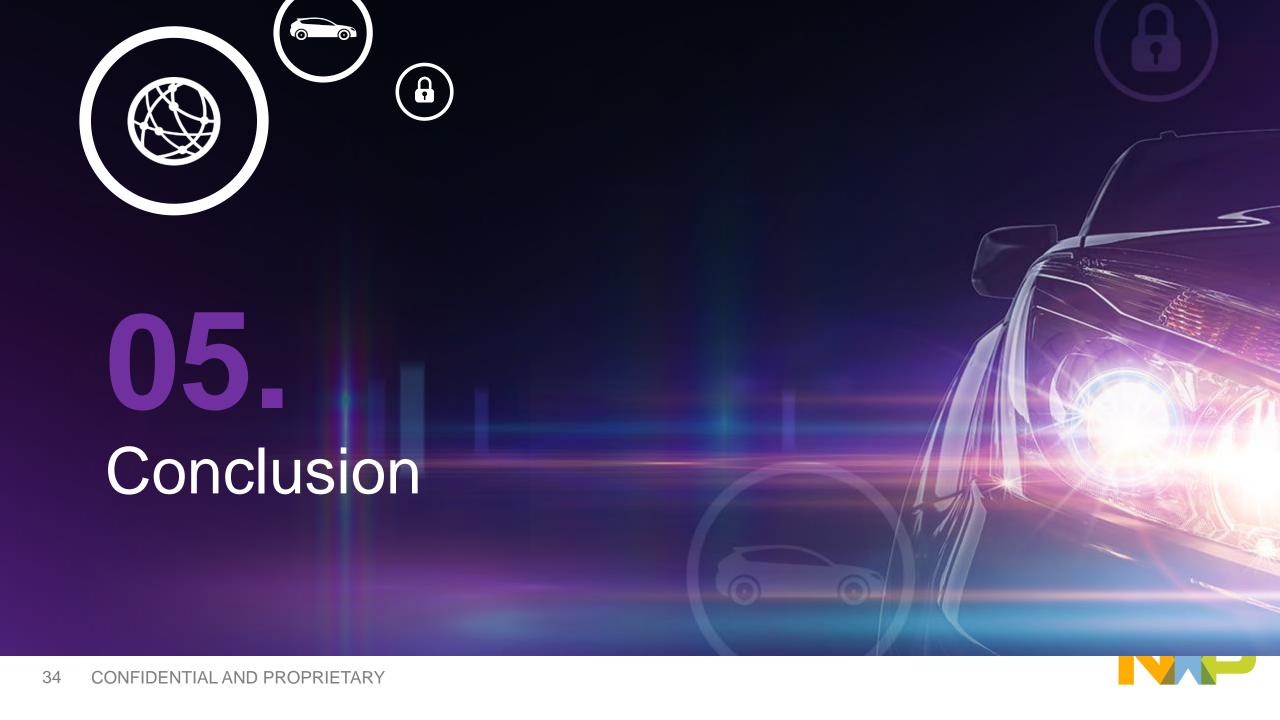
Driver:

- Driver could not see and react quickly enough ~ Human Factors
- Braking was not performed
- Watching a movie
- Incredible trust on the autonomous system









Conclusion

- Functional safety is about **RISK** assessment, prevention, protection
- SAFETY GOALS and ASIL are defined by OEM and are related to a function
- Functional Safety for Automotive is covered by ISO 26262 (International Standard)
- Autonomous driving is the next big challenge for Safety
- Functional Safety applies to Industrial, Medical, Railway, Aeronautics, Nuclear, etc...





SECURE CONNECTIONS FOR A SMARTER WORLD